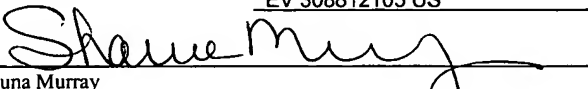


18351 U.S. PTO  
022304

CERTIFICATE OF MAILING

I hereby certify that on February 23, 2004, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Commissioner for Patents, Mail Stop Application Number, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a) <input type="checkbox"/> with sufficient postage as first class mail	37 C.F.R. § 1.10 p as "Express Mail Post Office to Addressee" Mailing Label No. EV 308812105 US
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Shauna Murray

**PATENT**

Applicants: Lung-Ji Chang  
Serial No.: 09/826,025  
Filed: 04/04/2001  
Title: COMBINATION  
IMMUNOGENE  
THERAPY  
Examiner: Anne Mari Falk  
Group Art Unit: 1632  
Atty Docket No.: 14354-40

22553 U.S. PTO  
10/785577

022304

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CONTINUATION APPLICATION UNDER 37 CFR 1.53(b)**

Commissioner for Patents  
Mail Stop Application Number  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a request for filing a continuation/divisional application under 37 CFR 1.53(b), of pending prior application:

Serial No.: 09/826,025  
Filed on: April 4, 2001  
Entitled: COMBINATION IMMUNOGENE THERAPY  
Inventors: Lung-Ji Chang

1. ☒ Enclosed is a copy of the latest inventor-signed specification of the prior application, including the oath or declaration as originally filed. I hereby verify that the papers are a true copy of the latest signed specification of the prior application number 09/826,025, filed April 4, 2001, and further that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.
2. ☐ The preliminary amendment is enclosed.

The filing fee is calculated below on the basis of the claims existing in the prior application:

	<u>Small Entity</u>	<u>Large Entity</u>	<u>Total</u>
Basic Fee	\$385.00	\$770.00	\$385.00
Total Claims exceeding 20: 0	\$9.00	\$18.00	
Indep. Claims exceeding 3: 0	\$43.00	\$86.00	
Multiple Dep. Claims 0+	\$145.00	\$290.00	
Total			\$385.00

3. The Power of Attorney in the prior application is to:

Canter, B.M., Reg. 34,792	Kirsch, Kevin W., Reg. 53,136
Cullman, L.C., Reg. 39,645	O'Connor, E. F., Reg. 25,903
Heyninck, M.M., Reg. 44,763	Swienton, B.F., Reg. 49,030
	Weir, J.P., Reg. 43,253

whose address is:

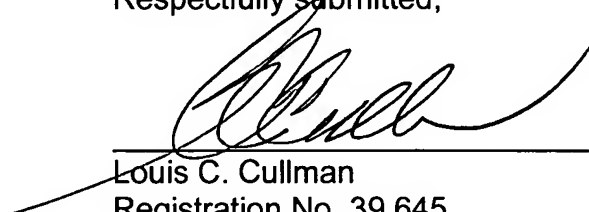
**STRADLING Yocca CARLSON & RAUTH**  
660 Newport Center Drive, Suite 1600  
Newport Beach, CA 92660  
**Attn: Louis C. Cullman**  
Telephone: 949.725.4000  
Facsimile: 949.725.4100

4. Address all future correspondence to:

STRADLING Yocca CARLSON & RAUTH  
660 Newport Center Drive, Suite 1600  
Newport Beach, CA 92660  
ATTN: Louis C. Cullman

Respectfully submitted,

February 23, 2004

  
\_\_\_\_\_  
Louis C. Cullman  
Registration No. 39,645  
Attorney for Applicants

STRADLING Yocca CARLSON & RAUTH  
660 Newport Center Drive, Suite 1600  
Newport Beach, CA 92660  
Telephone: 949.725.4000  
Facsimile: 949.725.4100

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**385.00****Complete if Known**

Application Number	09/826,025
Filing Date	04/04/2001
First Named Inventor	Lung-Ji Chang
Examiner Name	Anne Marie Falk
Art Unit	1632
Attorney Docket No.	14354-40

**METHOD OF PAYMENT** (check all that apply)
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit Account Number  
50-1329Deposit Account Name  
Stradling, Yocca, Carlson & Rauth

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$)<b>385.00</b></b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims		Fee from below	Fee Paid
Total Claims	8	-20** =	0	X 9	= 0
Independent Claims	1	-3** =	0	X 43	= 0
Multiple Dependent				0	= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)**0**

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY**

Name (Print/Type) Louis C. Cullman

Registration No.  
(Attorney/Agent)

39,645

(Complete (if applicable))

Telephone 949-725-4154

Signature

Date

February 23, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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